

City of Forney Authorized Broker/Dealer List

October 2021

The authorized broker/dealer list as adopted by Council for City of Forney is shown below. Each of these firms, and the individual covering the account, are sent the current Investment Policy whenever material changes are made.

The City's Policy establishes specific criteria for the brokers and requires that the list of broke/dealers be approved annually by the Board. Patterson & Associates maintains the brokerage compliance files for the City.

Bank of America/Merrill Lynch
Bank of New York
BOK Financial
Cantor Fitzgerald
FHN Financial
Goldman Sachs
InCapital
Jefferies
JPMorgan
Moreton Capital Markets
Morgan Stanley
Mizuho Securities
Oppenheimer
Piper Sandler & Co
Raymond James
RBC Capital Markets
Siebert Williams Shank
Stifel Nicolaus
StoneW Group
UBS Financial
Wells Fargo