

City of Forney Authorized Broker/Dealer List

October 2019

The authorized broker/dealer list as adopted by Council for City of Forney is shown below. Each of these firms, and the individual covering the account, are sent the current Investment Policy whenever material changes are made.

The City's Policy establishes specific criteria for the brokers and requires that the list of broke/dealers be approved annually by the Board. Patterson & Associates maintains the brokerage compliance files for the City.

Bank of America/Merrill Lynch
BOK Financial
Cantor Fitzgerald
FTN Financial
Great Pacific
G.X. Clarke
InCapital
Morgan Stanley
Mizuho Securities
Mutual Securities
Nomura Securities
Oppenheimer
Piper Jaffray & Co.
RBC Capital Markets
Raymond James
Stifel Nicolaus
Wells Fargo